

PHS FCOI Disclosure Form

Per policy: http://www.davenport.edu/advancement/sp/fcoi

INITIAL Disclosure UPDATE

Name	Department					
Project Role PD/PISenior/Key Personnel	Consultant Other					
Project lead PD/PI	Project Period					
Project Title						

1. Do you or any member of your immediate family (spouse or partner and dependent children) have any Significant Financial Interests (SFI) in a **Publicly-Traded Entity** that might reasonably appear to be related to your Institutional Responsibilities or with the PHS-funded project? (An SFI for publicly-traded entities exists if the value of any equity interest as of the date of disclosure combined with any remuneration in the past 12 months exceeds \$5,000.)

No Yes If YES: Provide the following information in a sealed envelope marked CONFIDENTIAL: the name of the entity, the nature of the interest, its value, dates and duration of transaction/service, and any documentation.

2. Do you or any member of your immediate family (spouse or partner and dependent children) have any Significant Financial Interests (SFI) in a **Privately-Held Entity** that might reasonably appear to be related to your Institutional Responsibilities or with the PHS-funded project? (An SFI for privately-held entities exists if the value of any remuneration in the past 12 months exceeds \$5,000, or when the Investigator or immediate family holds **any equity interest**.)

_ No	Yes	If YES:	Provide the fo	ollowing info	rmation in a	a sealed env	velope mar	ked CONF	IDENTIA	L: the name	
	of the o	entity, the	nature of the ir	nterest, its val	lue, dates ar	nd duration	of transact	tion/service	, and any	documentatio	on.

- Have you or any member of your immediate family (spouse or partner and dependent children) received any income related to intellectual property rights and interests that might reasonably appear to be related to your Institutional Responsibilities or with the PHS-funded project? (Do not include any intellectual property that has been assigned to Davenport.)
 No Yes If YES: Provide the following information in a sealed envelope marked CONFIDENTIAL: the name of the entity, the nature of the interest, its value, dates and duration of transaction/service, and any documentation.
- 4. In the past 12 months have you undertaken any **travel** related to your Institutional Responsibilities or PHS-funded project that was either reimbursed or paid for by any individual or entity other than a Federal, state or local government agency, an Institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an Institution of higher education.

<u>No</u> Yes If YES: Provide the following information in a sealed envelope marked CONFIDENTIAL: the purpose of the trip, the name of the sponsor/organizer, and the destination, duration, and approximate monetary value.

CERTIFICATION BY INVESTIGATOR

- A. I have read and understand the Davenport PHS FCOI Policy.
- B. To the best of my knowledge, I have made all required financial disclosures.
- C. I agree to comply with any conditions or restrictions imposed by Davenport for the purpose of managing, reducing, or eliminating actual or potential conflicts of interest in connection with this grant. If I am unable to comply, I understand that the University may decline the grant award or terminate the PHS-funded research program.

Check if applicable: _____ CERTIFICATION BY PRINCIPAL INVESTIGATOR/PROJECT DIRECTOR: The following people (or roles) meet the definition of Investigator for the above project, and I certify that they all will comply with the disclosure requirements of the Davenport PHS Financial Conflict of Interest Policy:

Signature of Investigator _____ Date _____

IF YES ABOVE, CERTIFICATION BY INSTITUTIONAL OFFICIAL

_____ No financial conflict of interest appears to exist.

_____ PROPOSALS: A financial conflict of interest may exist. The proposal may be submitted, but if the grant is awarded, research may not begin until an approved management plan is in place and the sponsor has been notified.

<u>UPDATES</u>: A financial conflict of interest may exist. No research may go forward until an approved management plan is in place and the sponsor has been notified.